

ISOLATION AND CHARACTERIZATION OF HEPTAKIS(2,6-DI-*O*-METHYL)CYCLOMALTOHEPTAOSE AND OVER-METHYLATED HOMOLOGUES

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ABSTRACT

From a mixture of methylation products of cyclomaltoheptaose (β -cyclodextrin, β CD) containing heptakis(2,6-di-*O*-methyl)- β CD (**1**) and its over-methylated homologues, two major components [**1** and hexakis(2,6-di-*O*-methyl)-(2,3,6-tri-*O*-methyl)- β CD (**2**)] and fourteen minor components were isolated by h.p.l.c. The solubilities and inclusion behaviour of **1** and a commercial DM- β CD (a mixture containing **1** and **2**) were compared. A 3-*O*-methyl group had little effect on the inclusion behaviour of 2,6-di-*O*-methyl- β CD. Fourteen minor over-methylated homologues were characterized.

INTRODUCTION

We have reported¹ that a commercial and two synthetic samples of heptakis(2,6-di-*O*-methyl)cyclomaltoheptaose (DM- β CD) contained two major and at least four minor components, and have isolated one minor and the two major components by semi-preparative h.p.l.c. on a Nova-Pak C₁₈ cartridge eluted with 2-propanol–water (19:81). Determination of the molecular weight by f.a.b.-m.s. and successive hydrolysis, reduction, acetylation, and g.l.c.-m.s. of the resulting acetylated partially methylated derivatives of D-glucitol showed that the two major components were heptakis(2,6-di-*O*-methyl)- β CD (**1**) and hexakis(2,6-di-*O*-methyl)-(2,3,6-tri-*O*-methyl)- β CD (**2**), and that the minor one was pentakis(2,6-di-*O*-methyl)-bis(2,3,6-tri-*O*-methyl)- β CD.

On the basis of n.m.r. spectroscopy, Spencer *et al.*² concluded that their DM- β CD, prepared according to literature procedures^{3–5}, and all samples of the commercially available, so-called, pure DM- β CD contained only ~65% of **1**. After benzylation of methylated β CD, the derivatives of **1** and **2** were separated by chromatography and characterized by high-resolution ¹H- and ¹³C-n.m.r. spectroscopy. Pitha and associates⁶ also pointed out, on the basis of plasma desorption-m.s.

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data, that the products of laboratory methylation of CDs and several commercial DM- β CD preparations were mixtures.

Despite these findings, the commercially available DM- β CD has been used extensively for measurements of physicochemical properties and for studies of pharmaceutical applications.

We now report on a highly efficient method of isolating pure **1** and on its solubility and complex-forming ability in comparison with those of a commercial DM- β CD. Some properties of the over-methylated homologue **2** were also investigated. Furthermore, fourteen minor products of over-methylated β CD were isolated and characterized.

EXPERIMENTAL

General methods. — The h.p.l.c. system consisted of a JASCO 880-PU pump, a Waters U6K universal injector, and a Showa Denko SE-61 refractive index monitor. The columns (Yamamura Chemical) used were a YMC-Pack A-312 (150 \times 6 mm i.d.) and a YMC-Pack SH-343-5 AQ (250 \times 20 mm i.d.). For pre-fractionation of partially methylated β CDs, a Lobar LiChroprep Si 60 (63–125 μ m) column (440 \times 37 mm i.d.) (Merck) was used. H.p.l.c. at constant temperature was conducted using a column oven SSC 3510C (Senshu Scientific Co.).

G.l.c. was carried out with a Hitachi GC 063 instrument. A glass column (2 m \times 3 mm i.d.) filled with 3% of ECNSS-M on Chromosorb W (AW-DMCS, 100–120 mesh) was used isothermally at 180°. A Shimadzu Chromatopac C-R3A digital integrator was used for quantitative analyses.

G.l.c.-m.s. was conducted with an MS-SL05 gas chromatograph directly coupled to the source of a Jeol JMS DX-303 mass spectrometer. Low-resolution e.i.-mass spectra were obtained at an ionizing energy of 20 eV, an ionization current of 300 μ A, an accelerating voltage of 3 kV, and an ion-source temperature of 270°.

F.a.b.-m.s. was performed in the positive mode with a Jeol JMS-DX 303 mass spectrometer, using xenon atoms having a kinetic energy equivalent to 6 kV. The mass marker was calibrated with perfluoroalkylphosphazine (Ultra Mark), and glycerol or *m*-nitrobenzyl alcohol was used as the matrix solution.

^{13}C -N.m.r. spectra (50.10 MHz) were recorded at ambient temperature on 2–3% solutions in D₂O with a Jeol JNM-FX 200 F.t.-n.m.r. spectrometer. A micro cell was used and chemical shifts are expressed in p.p.m. downfield from the signal of Me₄Si, using 1,4-dioxane (67.40 p.p.m.) as the external standard. The F.t.-n.m.r. conditions were as follows: spectral width, 3000 Hz; pulse flipping angle, 45°; number of data points, 16,384.

Materials. — β CD (cyclomaltoheptaose) was used after recrystallization from water. A commercial DM- β CD was donated by Toshin Chemical. Mixtures of **1** and over-methylated homologues were prepared according to the procedure of Szejtli *et al.*³, using 1.5-fold more than the original amounts of the reagents.

Reagent-grade organic solvents, used for chromatography and synthesis, were dried and freshly distilled before use. Water used in the solvent preparations was distilled, deionized, and redistilled.

Solubility studies. — Water (1.0 mL) and an excess of the lyophilized sample were shaken in a sealed glass vessel for 1 h at 25°, 40°, or 55° ($\pm 0.1^\circ$). The solutions were passed through a 0.2- μ m membrane filter, and the concentration of the solute was determined by h.p.l.c. on a Waters Nova-Pak C₁₈ cartridge (100 \times 8 mm i.d.; methanol–water, 13:87 for β CD, and 85:15 for **1** and a commercial DM- β CD); **1** and **2** in the commercial DM- β CD appeared as a single peak.

The inclusion behaviours of **1**, **2**, and a commercial DM- β CD were determined by the solubility method⁷ as described⁸ for branched CDs.

Fragmentation analysis. — Each methylated β CD (10 mg) was hydrolyzed in 2M trifluoroacetic acid (0.5 mL) overnight at 100°. The solution was concentrated to dryness under reduced pressure, and a solution of NaBH₄ (200 mg) in water (2 mL) was stirred with the residue for 3 h at room temperature. Conventional work-up gave partially methylated D-glucitol derivatives that were acetylated with acetic anhydride and pyridine, and solutions of the products in acetone were analysed by g.l.c.–m.s.

RESULTS AND DISCUSSION

H.p.l.c. of heptakis(2,6-di-*O*-methyl)- β CD (1**) and over-methylated homologues.** — First, an attempt was made to characterize the minor unknown

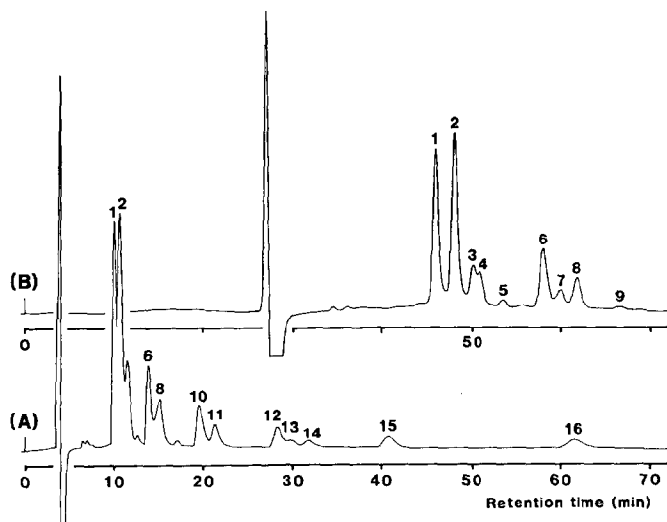


Fig. 1. (A) Elution profile of a mixture of partially methylated β CDs on YMC-Pack A-312 (150 \times 6 mm i.d.) with 1-propanol–water (16:84); (B) separation of the fast-moving components on YMC-Pack SH-343-5 AQ (250 \times 20 mm i.d.) with 2-propanol–water (25:75). Flow rate, (A) 1 mL/min, (B) 2 mL/min at 35°.

components detected in the previous study¹. A mixture of partially methylated β CDs containing relatively large amounts of the desired minor components was prepared by modification of the method of Szejtli *et al.*³ with increased amounts of reagents.

Re-investigation of the chromatographic system led to the choice of YMC-Pack A type ODS columns, which were efficient for the separation of CDs and branched CDs⁹. Fig. 1 shows the elution profiles of a mixture of partially methylated β CDs and the fast-moving components. With β CD as the test sample, the theoretical plate number of the A-312 column was 7,280 and that of the SH-343-5 AQ column was 26,730. Therefore, the latter made possible fine separations of partially methylated β CDs, and base-line separation of the two major components, **1** and **2**, was achieved. Moreover, the capacity of the SH-343-5 AQ column was ~ 15 -fold more than that of the Nova-Pak C₁₈ cartridge (100 \times 8 mm i.d.) that was used in the previous work¹. Thus, ~ 30 mg of sample could be loaded for the isolation of **1**, **2**, and the fourteen over-methylated homologues pre-fractionated on a Lobar LiChroprep Si 60 column with benzene-ethanol. The principal eluent was

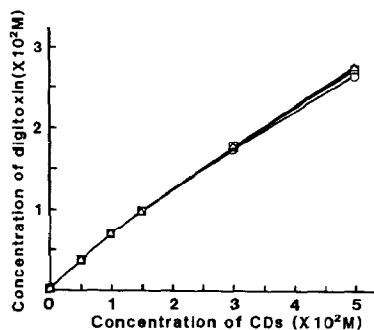


Fig. 2. Phase solubility diagrams of digitoxin-partially methylated β CD systems in water at 25°: **1**, ○; **2**, △; commercial DM- β CD, □.

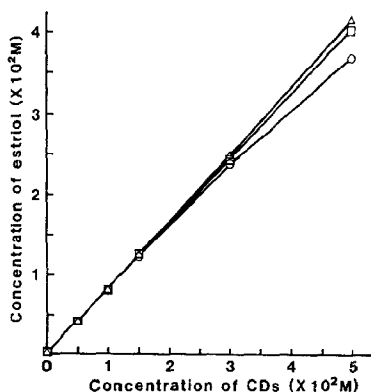


Fig. 3. Phase solubility diagrams of estriol-partially methylated β CD systems in water at 25°. Symbols as in Fig. 2.

17–30% 1-propanol with 20–23% 2-propanol being used for some components that were difficult to separate under these conditions, *e.g.*, peaks 3 and 4.

For the most efficient isolation of **1**, β CD was methylated according to the procedure of Boger *et al.*⁵, the products were crystallized from methanol, and the crystalline material was chromatographed as described above. The ratio of **1**:**2** increased on crystallization of the mixture from methanol.

Solubility of heptakis(2,6-di-O-methyl)- β CD (1). — The solubilities of pure **1** in water at 25°, 40°, and 55° were measured and compared (Table I) with those of a commercial DM- β CD (containing **1**, **2**, and several minor homologues; see Table II) and the parent β CD. In general, a contaminant having a similar constitution increases the solubility. The solubility of pure **1** is considerably lower than that of the commercial DM- β CD.

The solubility of the over-methylated homologue **2** in water was somewhat higher than that of **1** despite having one less hydroxyl group and, like that of **1**, decreased abruptly with rising temperature. Therefore, recrystallization of a mixture of **1** and **2** by heating an aqueous solution induced co-precipitation, and purification of **1** by recrystallization from water was unsuccessful.

On the other hand, the solubilities of the methylated β CDs in methanol increased normally with rising temperature and **2** was more soluble than **1**. Therefore, fractional crystallization from methanol was possible to a certain extent.

Inclusion behaviour. — The complex-forming abilities of **1**, **2**, and the commercial DM- β CD with digitoxin and estriol in water were studied by the solubility method. The minimum requirement for inclusion complex formation is size compatibility between the host and guest molecules¹⁰. The influence of a 3-*O*-methyl group had little effect on the inclusion behaviour of 2,6-di-*O*-methyl- β CD.

TABLE I

SOLUBILITIES (g/100 mL) OF DI-*O*-METHYL- β CD AND β CD IN WATER

Compound	25°	40°	55°
Heptakis(2,6-di- <i>O</i> -methyl)- β CD	45.03	11.85	2.72
Commercial DM- β CD	65.80	31.27	6.13
β CD	1.95	3.38	5.29

TABLE II

COMPOSITION (%) OF THE METHYLATION PRODUCTS OF β CD (A) AND THE COMMERCIAL DM- β CD (B)

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
A	20.7	24.4	6.5	4.8	1.5	9.5	3.2	5.1	0.5	7.1	4.1	4.1	1.4	1.6	3.0	2.5
B	40.3	37.7	3.9	3.4		7.7		1.5		5.5						

Figs. 2 and 3 show the phase solubility diagrams obtained for digitoxin and estriol with **1**, **2**, and the commercial DM- β CD at 25°. The complexation abilities of these compounds seem to be almost the same. Slight differences between the solubilities of estriol in solutions of **1**, **2**, and the commercial DM- β CD of higher concentrations were observed. This phenomenon suggests that the solubility of the estriol-**1** complex is lower than that of the estriol-**2** complex. These results indicate that the commercial DM- β CD is superior to pure **1** as the host compound of inclusion complexes, owing to its higher solubility.

Comparison of physicochemical properties of 1 and 2. — Pure **2** became colored at $\sim 275^\circ$ and then melted at $280\text{--}284^\circ$, whereas **1** became colored at 276^{01} , but did not melt even at 300° . The $[\alpha]_D$ values were $+168.9^\circ$ for **2** and 162.5° for **1** (*c* 1, water).

Fig. 4. shows ^{13}C -n.m.r. spectra of **1** and **2** in D_2O . The ^{13}C -resonances of the ring carbons in the spectrum of **1**, assigned¹¹ using the 2D method, were confirmed with a Jeol GSX 500 spectrometer. Suzuki *et al.*¹² elucidated the chemical shifts of the ^{13}C -resonances of MeO-2 and MeO-6, respectively, at 60.1 and 58.6 p.p.m. in **1** and at 58.6 and 58.9 p.p.m. in permethylated β CD. The signal for MeO-3 appeared at lowest field (60.1 p.p.m.). Spencer *et al.*² also assigned the chemical shifts of the resonances of the ring and methoxyl carbons of **1** in C_6D_6 . From a comparison of the ^{13}C -shift data for β CD, 2,6-di-*O*-methyl- β CD (**1**) and 2,3,6-tri-*O*-methyl- β CD (**17**), the effect of methylation of HO-3 could be deduced.

Methylation of one HO-3 (**1** \rightarrow **2**) caused an α -shift of $\sim +9$ p.p.m. for the C-3 resonance and β -shifts of ~ -1.1 and ~ -2.2 p.p.m. for the resonances of C-2 and C-4, respectively. A signal for MeO-3 appeared at 61.5 p.p.m., and one of the MeO-2 signals was shifted to 58.8 p.p.m. as in the spectrum of **17**. However, as these changes were relatively small, the ^{13}C -n.m.r. spectrum (D_2O) of **1** contaminated by **2** may imply that it is a pure compound.

Characterization of fourteen minor over-methylated homologues. — The minor homologues **3–16**, corresponding to the peaks 3–16 in Fig. 1, were isolated by h.p.l.c. on YMC-Pack A type ODS columns, as mentioned above. A trace of **17**

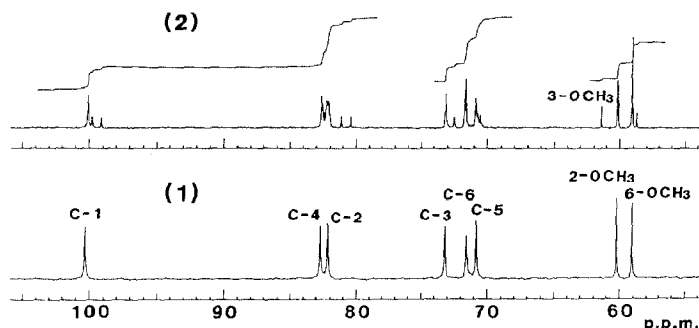


Fig. 4. ^{13}C -N.m.r. spectra of heptakis(2,6-di-*O*-methyl)- β CD (**1**) and hexakis(2,6-di-*O*-methyl)-(2,3,6-tri-*O*-methyl)- β CD (**2**) in D_2O .

TABLE III

EXPECTED FRAGMENT IONS IN F.A.B.-M.S. SPECTRA OF THREE POSITIONAL ISOMERS^a

<i>m/z</i>	Corresponding to	3	4	6
191	[● + H] ⁺	○	○	○
205	[○ + H] ⁺	○	○	○
381	[2● + H] ⁺	○	○	○
395	[● + ○ + H] ⁺	○	○	○
409	[2○ + H] ⁺	×	×	○
571	[3● + H] ⁺	○	○	○
585	[2● + ○ + H] ⁺	○	○	○
599	[● + 2○ + H] ⁺	×	○	○
761	[4● + H] ⁺	×	○	○
775	[3● + ○ + H] ⁺	○	○	○
789	[2● + 2○ + H] ⁺	○	○	○
951	[5● + H] ⁺	×	×	○
965	[4● + ○ + H] ⁺	○	○	○
979	[3● + 2○ + H] ⁺	○	○	○
1155	[5● + ○ + H] ⁺	○	○	○
1169	[4● + 2○ + H] ⁺	○	○	○

^a ● = 2,6-Me₂-Glc, ○ = 2,3,6-Me₃-Glc.

was also detected (*T* 135 min under the conditions of Fig. 1A). The composition (%) of the products of methylation of β CD, prepared following the procedure of Szejtli *et al.*³ with 1.5-fold more than the original amounts of the reagents, is summarized in Table II together with the composition of the commercial DM- β CD.

Each product was successively hydrolyzed, reduced, and acetylated, and the resulting D-glucitol derivatives were characterized by g.l.c.-m.s. There was only one product from **1**, namely, 1,3,4,5-tetra-*O*-acetyl-2,6-di-*O*-methyl-D-glucitol (2,6-Me₂) as mentioned before¹. The products from **2–16** contained only 1,4,5-tri-*O*-acetyl-2,3,6-tri-*O*-methyl-D-glucitol (2,3,6-Me₃) in addition to 2,6-Me₂. The ratios of 2,6-Me₂ and 2,3,6-Me₃ obtained for **3–16** and their molecular weights, confirmed by f.a.b.-m.s., indicate that **3**, **4**, and **6** are positional isomers of pentakis(2,6-di-*O*-methyl)-bis(2,3,6-tri-*O*-methyl)- β CD; **5**, **7**, **8**, and **10** are four of five isomers of tetrakis(2,6-di-*O*-methyl)-tris(2,3,6-tri-*O*-methyl)- β CD; **9**, **11**, and **12** are three of five isomers of tris(2,6-di-*O*-methyl)-tetrakis(2,3,6-tri-*O*-methyl)- β CD; **13**, **14**, and **15** are three isomers of bis(2,6-di-*O*-methyl)-pentakis(2,3,6-tri-*O*-methyl)- β CD; and **16** is (2,6-di-*O*-methyl)-hexakis(2,3,6-tri-*O*-methyl)- β CD. Thus, of the eighteen theoretically possible over-methylated homologues of **1**, one major and fourteen minor homologues were isolated and characterized.

The separation on ODS, which is probably hydrophobic chromatography,[†] did not follow the d.s., since the following orders of elution of **5** (d.s. 15) and **6** (d.s. 14) and of **9** (d.s. 18) and **10** (d.s. 17) were reversed.

The structures of three positional isomers (**3**, **4**, and **6**) of pentakis(2,6-di-*O*-methyl)-bis(2,3,6-tri-*O*-methyl)- β CD were investigated by f.a.b.-m.s. Table III shows the fragment ions produced by cleavage of glucosidic bonds. It was expected that the ions at *m/z* 409, 599, 761, and 951 would be characteristic. With glycerol

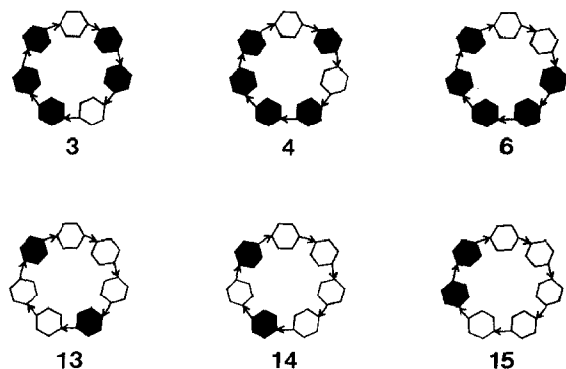


Fig. 5. Positional isomers of pentakis(2,6-di-*O*-methyl)-bis(2,3,6-tri-*O*-methyl)- β CDs (**3**, **4**, and **6**) and bis(2,6-di-*O*-methyl)-pentakis(2,3,6-tri-*O*-methyl)- β CDs (**13**, **14**, and **15**): ●, 2,6-di-*O*-methylglucose residue (mol. wt. 190); ○, 2,3,6-tri-*O*-methylglucose residue (mol. wt. 204). The numbers correspond with the peaks in Fig. 1.

as the matrix, the fragment at m/z 409 was observed only in the spectrum of **6**. With *m*-nitrobenzyl alcohol as the matrix, the fragment at m/z 599 was not observed, whereas the fragments at m/z 761 and 951 were present in each spectrum. However, the relative intensities of the peaks at m/z 761 and 951 compared, respectively, with those of peaks at m/z 775 and 965 in each spectrum were different, namely, the relative intensities of m/z 761 and 775 were 0.56, 1.00, and 2.55 in the spectra of **3**, **4**, and **6**, respectively, and those of m/z 951 and 965 were 0.36, 0.57, and 1.00, respectively. Although these studies did not provide conclusive proof of the structure, because of additional unknown fragmentation, a comparison of the characteristic fragments in f.a.b.-m.s. spectra of **3**, **4**, and **6** suggested that the structures were as shown in Fig. 5. Similar study of the structures of three isomers of bis(2,6-di-*O*-methyl)-pentakis(2,3,6-tri-*O*-methyl)- β CD (**13**–**15**) suggested the structures shown in Fig. 5. More accurate analysis is in progress.

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